## **Automated Theorem Proving**

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## **Exercises 10: Termination**

**Exercise 10.1:** Let  $\Sigma = (\{f/1, g/2, h/1, b/0, c/0\}, \emptyset)$  and let

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t_1 = g(h(x), h(c)),

t_2 = g(x, x),

t_3 = g(b, f(x)),

t_4 = f(g(x, y)),

t_5 = h(g(x, c)).
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Determine for each  $1 \le i < j \le 5$  whether  $t_i$  and  $t_j$  are uncomparable or comparable (and if so, which term is larger) with respect to

- (a) the lexicographic path ordering with the precedence  $f \succ g \succ h \succ b \succ c$ ,
- (b) the Knuth–Bendix ordering with the precedence  $h \succ f \succ g \succ b \succ c$ , where h has weight 0, b has weight 3, and all other symbols and variables have weight 1,
- (c) the polynomial ordering over  $\{n \in \mathbb{N} \mid n \geq 1\}$  with  $P_f(X_1) = X_1 + 1$ ,  $P_g(X_1, X_2) = 2X_1 + X_2 + 1$ ,  $P_h(X_1) = 3X_1$ ,  $P_b = 1$  and  $P_c = 3$ .

**Exercise 10.2:** Let  $\Sigma = (\Omega, \Pi)$  be a finite signature, let  $\succ$  be a strict partial ordering on  $\Omega$ , and let  $s, t \in T_{\Sigma}(X)$ .

- (a) Prove: If s contains a subterm  $s' = f(s_1, \ldots, s_n)$  such that  $var(s') \supseteq var(t)$  and  $f \succ g$  for all function symbols g occurring in t, then  $s \succ_{lpo} t$ .
- (b) Refute: If s contains a subterm  $s' = f(s_1, ..., s_n)$  such that  $var(s) \supseteq var(t)$  and  $f \succ g$  for all function symbols g occurring in t, then  $s \succ_{lpo} t$ .

Exercise 10.3: Determine for each of the following statements whether they are true or false:

- (1) f(g(x)) > f(x) in every simplification ordering >.
- (2) f(f(x)) > f(y) in every simplification ordering >.
- (3) If  $\succ$  is an lpo, then  $f(x) \succ g(x)$  implies  $f(x) \succ g(g(x))$ .
- (4) If  $\succ$  is a kbo, then  $f(x) \succ g(x)$  implies  $f(x) \succ g(g(x))$ .
- (5) If  $\succ$  is an lpo, then  $h(f(x), y, y) \succ h(x, z, z)$ .
- (6) If  $\succ$  is a kbo, then  $h(f(x), f(y), z) \succ h(x, f(z), y)$ .
- (7) There is a reduction ordering  $\succ$  such that  $f(x) \succ g(f(x))$ .
- (8) There is a reduction ordering  $\succ$  such that  $f(f(x)) \succ f(g(f(x)))$ .

**Exercise 10.4** (\*): Let  $\Sigma = (\Omega, \emptyset)$  be a finite signature, let h be a unary function symbol in  $\Omega$ , and let  $\succ$  be precedence on  $\Omega$  such that h is the smallest element of  $\Omega$  w.r.t.  $\succ$ .

Prove: For all terms  $s, t \in T_{\Sigma}(X)$ , we have  $s \succ_{\text{lpo}} t$  if and only if  $s \succeq_{\text{lpo}} h(t)$ .

**Exercise 10.5:** Let  $\Sigma = (\{f/2, g/2, h/2\}, \emptyset)$ . Let R be the term rewrite system

$$\{g(x, f(x,y)) \rightarrow h(y, g(x,y)), h(x,y) \rightarrow g(y,y)\}$$

Is there a lexicographic path ordering  $\succ_{\text{lpo}}$  such that  $\rightarrow_R \subseteq \succ_{\text{lpo}}$ ? If yes, give the precedence of this lpo; if no, explain why such an lpo does not exist.

**Exercise 10.6:** Let  $\Sigma = (\{f/2, g/1, h/1, b/0\}, \emptyset)$ . Let R be the term rewrite system

$$\{ f(q(x), y) \rightarrow q(f(x, x)), h(f(x, b)) \rightarrow q(x) \}$$

Is there a Knuth–Bendix ordering  $\succ_{\text{kbo}}$  such that  $\rightarrow_R \subseteq \succ_{\text{kbo}}$ ? If yes, give the weights and precedence of this kbo; if no, explain why such a kbo does not exist.

**Exercise 10.7:** Let  $\Sigma = (\{f/1, g/1, b/0, c/0\}, \emptyset)$ . Let R be the term rewrite system

$$\{ f(g(x)) \rightarrow g(g(f(x))), c \rightarrow f(b) \}$$

Is there a polynomial ordering  $\succ_{\mathcal{A}}$  in which the function symbols are interpreted by linear polynomials over  $U_{\mathcal{A}} = \{n \in \mathbb{N} \mid n \geq 1\}$  such that  $\rightarrow_{R} \subseteq \succ_{\mathcal{A}}$ ? If yes, give

the polynomials by which the symbols of  $\Sigma$  are interpreted; if no, explain why such an ordering does not exist.

**Exercise 10.8:** Let  $\Sigma = (\Omega, \emptyset)$  be a finite signature. For  $t \in T_{\Sigma}(X)$  we define depth $(t) = \max\{|p| \mid p \in \text{pos}(t)\}$ . Let  $\succ$  be a strict partial ordering on  $\Omega$ . The binary relation  $\succ_{\text{do}}$  on  $T_{\Sigma}(X)$  is defined by:  $s \succ_{\text{do}} t$  if and only if

- (1)  $\#(x,s) \ge \#(x,t)$  for all variables x and  $\operatorname{depth}(s) > \operatorname{depth}(t)$ , or
- (2) #(x,s) > #(x,t) for all variables x, depth(s) = depth(t), and

(a) 
$$s = f(s_1, ..., s_m), t = g(t_1, ..., t_n), \text{ and } f \succ g, \text{ or } f(s_1, ..., s_m)$$

(b) 
$$s = f(s_1, \ldots, s_m), t = f(t_1, \ldots, t_m), \text{ and } (s_1, \ldots, s_m) (\succ_{do})_{lex} (t_1, \ldots, t_m).$$

Give an example that shows that  $>_{do}$  is not a reduction ordering.

**Exercise 10.9** (\*): Let  $\Sigma = (\Omega, \emptyset)$  be a finite signature, let  $\succ$  be a simplification ordering. Let R be a TRS over  $T_{\Sigma}(X)$  such that  $l \succ r$  for all  $l \to r \in R$ . Let h be an n-ary function symbol in  $\Omega$  (with n > 0) that does not occur in any left-hand side of a rule in R. Prove: If R is confluent, then  $R \cup \{h(x, \ldots, x) \to x\}$  is confluent.

**Exercise 10.10:** Let  $\Sigma = (\{f/1, g/2, h/2, b/0, c/0\}, \emptyset)$ . Let E be the following set of equations over  $\Sigma$ :

$$f(f(x)) \approx g(b, x)$$
 (1)

$$h(f(y), y') \approx f(h(y, y'))$$
 (2)

$$g(h(z,z),c) \approx h(z,b)$$
 (3)

- (a) Suppose that the three equations in E are turned into rewrite rules by orienting them from left to right. Give all critical pairs between the resulting three rules.
- (b) It is possible to orient the equations in E using an appropriate kbo so that there are no critical pairs between the resulting rules. Give the weights and precedence of the kbo, and explain how the equations are oriented.

**Exercise 10.11:** Let  $\Sigma = (\{f/1, g/1, h/1, b/0, c/0\}, \{P/2, Q/1, R/2\})$ . Let N be the following set of clauses over  $\Sigma$ :

$$P(f(x), x) \vee P(c, x) \vee R(g(x), x)$$
 (1)

$$\neg P(y, f(y)) \tag{2}$$

$$\neg P(y,c) \lor \neg P(z,h(y)) \lor Q(z) \tag{3}$$

$$Q(b) \vee Q(x) \vee \neg R(g(x), x)$$
 (4)

$$R(g(c), y) \tag{5}$$

- (a) Suppose that the atom ordering  $\succ$  is a lexicographic path ordering with the precedence  $P \succ Q \succ R \succ f \succ g \succ h \succ b \succ c$  and that the selection function sel selects no literals. Compute all Res $_{sel}^{\succ}$  inferences between the clauses (1)–(5). Do not compute inferences between derived clauses.
- (b) One of the conclusions of the inferences computed in part (a) is redundant w.r.t. N. Which one? Why?

**Exercise 10.12:** Let  $\Sigma = (\{f/1, g/1, h/1, b/0, c/0\}, \{P/2, Q/1, R/2\})$ . Let N be the following set of clauses over  $\Sigma$ :

$$P(x, f(x)) \vee P(x, x) \tag{1}$$

$$\neg P(h(z), x) \lor \neg P(y, f(f(x))) \lor \neg Q(x) \lor Q(f(x))$$
 (2)

$$\neg Q(h(f(x))) \lor R(h(b), y) \tag{3}$$

$$\neg R(y, g(c)) \lor Q(g(x)) \tag{4}$$

$$\neg Q(h(y)) \tag{5}$$

- (a) Suppose that the atom ordering  $\succ$  is a Knuth–Bendix ordering with weight 1 for all function and predicate symbols and variables and the precedence  $P \succ Q \succ R \succ f \succ g \succ h \succ b \succ c$  and that the selection function sel selects no literals. Compute all  $\operatorname{Res}_{sel}^{\succ}$  inferences between the clauses (1)–(5). Do not compute inferences between derived clauses.
- (b) One of the clauses (1)–(5) is redundant with respect to the others. Which one? Why? Give a brief explanation.

**Exercise 10.13:** Let  $\Sigma = (\Omega, \Pi)$  be a signature with  $\Omega = \{f/1, b/0, c/0\}$  and  $\Pi = \{P/1\}$ . Suppose that the atom ordering  $\succ$  is a Knuth-Bendix ordering with weight 1

for all predicate symbols, function symbols, and variables, and with the precedence  $P \succ f \succ b \succ c$ . Let  $N = \{C_1, C_2, C_3\}$  with

$$C_1 = P(b)$$

$$C_2 = \neg P(f(f(c)))$$

$$C_3 = P(x) \lor P(f(x))$$

- (a) Sketch what the set  $G_{\Sigma}(N)$  of all ground instances of clauses in N looks like. How is it ordered with respect to the clause ordering  $\succ_{\mathbb{C}}$ ?
- (b) Construct the candidate interpretation  $I_{G_{\Sigma}(N)}^{\succ}$  of the set of all ground instances of clauses in N. Which clauses in  $G_{\Sigma}(N)$  are productive and what do they produce?

**Exercise 10.14** (\*): Let  $\Sigma = (\{f/1, b/0, c/0\}, \{P/1\})$ . Let N be the following set of  $\Sigma$ -clauses:

$$P(b) \tag{1}$$

$$P(f(c)) \tag{2}$$

$$\neg P(x) \lor P(f(x))$$
 (3)

Let  $\succ$  be a Knuth-Bendix ordering with weight 1 for all function and predicate symbols and variables and the precedence  $P \succ f \succ b \succ c$ . The ordering is extended to ground literals and ground clauses as usual. Give the smallest nonempty ground  $\Sigma$ -clauses  $C_1, C_2, C_3, C_4$  such that

- (a)  $C_1 \in G_{\Sigma}(N)$  and  $C_1 \in \text{Red}(N)$ ,
- (b)  $C_2 \in G_{\Sigma}(N)$  and  $C_2 \notin \text{Red}(N)$ ,
- (c)  $C_3 \notin G_{\Sigma}(N)$  and  $C_3 \in \text{Red}(N)$ ,
- (d)  $C_4 \notin G_{\Sigma}(N)$  and  $C_4 \notin \text{Red}(N)$ .