Sledgehammering without ATPs

Short Paper

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- Abstract

We describe an alternative architecture for "hammers," inspired by Magnushammer, in which proofs are found by the proof assistant's built-in automation instead of by external automatic theorem provers (ATPs). We implemented this approach in Isabelle's Sledgehammer and evaluated it. The new ATP-free approach nicely complements the traditional Sledgehammer. The two approaches in combination solve more goals than the traditional ATP-based approach alone.

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1 Introduction

Sledgehammer [3,18] is a tool for Isabelle/HOL [17] that solves proof goals automatically using external automatic theorem provers (ATPs). "Hammer" systems such as Sledgehammer but also HOL(y)Hammer [12] and CoqHammer [9] are made up of four or five main components [6]:

- 1. The relevance filter (or "premise selector") heuristically identifies a subset of the available facts (definitions, lemmas, theorems, etc.) as possibly relevant to the current goal. Typically, hundreds of facts can be selected without overwhelming the ATP. Sledgehammer includes three relevance filters: MePo is based on iterative selection [15], MaSh is based on naive Bayes and k nearest neighbors [5], and MeSh combines MePo and MaSh.
- 2. The translation module constructs an ATP problem from the selected facts and the current goal, converting them from the proof assistant's logic (e.g., Isabelle's polymorphic higher-order logic) to the ATP's logic.
- **3.** The ATP tries to prove the problem. For Sledgehammer, commonly used ATPs include the first-order superposition prover SPASS [7]; the higher-order superposition provers E [21], Vampire [2], and Zipperposition [20]; the higher-order paramodulation prover Leo-III [19]; and the first-order SMT solvers cvc5 [1], veriT [8], and Z3 [10]. Multiple ATPs are tried in parallel.

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23:2 Sledgehammering without ATPs: Short Paper

- 4. On success, the optional *proof minimization module* repeatedly invokes the ATP with subsets of the facts referenced in the ATP proof, in an attempt to reduce the number of dependencies and speed up the next step, proof reconstruction.
- 5. Finally, the *proof reconstruction module* transforms a proof found by an ATP into the proof assistant's input language (e.g., Isabelle's Isar [22]). Typically, the structure of the ATP proof is discarded, and a single Isabelle proof method (also called tactic) is invoked with the facts referenced in the ATP proof.

As an example, Sledgehammer might select 1024 facts and translate them, along with the goal, to E's monomorphic higher-order logic. Then suppose E finds a proof involving three facts: f_1 , f_2 , and f_3 . Minimization reduces this list to two: f_1 and f_3 . Finally, by trial and error, Sledgehammer determines that the proof method simp add: f_1 f_3 solves the Isabelle goal, so this is suggested to the user. The user can simply click this proof method invocation to insert it into their proof development, and move on to the next goal.

The ATPs' strength is that they can find their way through hundreds of facts. Nevertheless, it is not uncommon for Sledgehammer to fail on a goal that can be solved by a single parameterless call to **auto**, Isabelle's main workhorse. The ATPs, which routinely surprise users with nonobvious proofs, can also be a bottleneck.

This suggests an alternative, ATP-free architecture for hammers:

- 1. The relevance filter works as before, except that it selects at most a few dozen facts, because proof methods tend to scale less well than ATPs.
- 2. A proof method is invoked. The selected facts f_1, \ldots, f_n are either introduced into the goal as premises (e.g., using $f_1 \ldots f_n$ by auto) or are made available to the proof method via parameters (e.g., by (simp add: $f_1 \ldots f_n$)), depending on the method.
- **3.** On success, the proof minimization module attempts to reduce the number of facts needed for the proof to speed up the proof method.

We implemented this approach in Sledgehammer, with proof methods complementing the ATPs for proof search. The idea is not completely new. Magnushammer [16], whose relevance filter relies on powerful transformers, has a similar architecture and obtained remarkable results. However, the tool is not readily available, and it requires considerably heavier computational resources than Sledgehammer's faster and simpler relevance filters.

With our evaluation (Section 4), we try to answer the following research questions: *How* successful is an ATP-free hammer that relies on fast and simple relevance filtering? How fast are proof methods? How successful are ATP and ATP-free hammers when used in combination? But before we try to answer these questions, we review the implementation in Sledgehammer (Section 2) and study an example interaction with the newly extended tool (Section 3).

2 Implementation

Sledgehammer has a generic notion of "prover" with two instances: traditional ATPs [14] and SMT solvers [3]. We have now extended the tool with a third instance: proof methods. The supported proof methods are those that Sledgehammer already used for reconstruction and that do not rely on external tools: algebra, argo, auto, blast, fastforce, force, linarith, meson, metis, order, presburger, satx, and simp. Notably, simp performs simplification using equations as oriented rewrite rules, auto extends simp with general-purpose classical reasoning, and blast is a tableau prover [4].

M. Desharnais and J. Blanchette

Thus, our ATP-free hammer is not a brand new hammer; rather, it is integrated in an existing hammer that is already part of the users' workflow. Our objective is to increase the users' productivity without requiring them to change their habits.

This tool will be part of the next Isabelle release, which is expected at the end of 2025. We used the results of our empirical evaluation to craft a new default portfolio that runs traditional ATPs, SMT solvers, and proof methods in parallel.

3 Example

The Khovanskii_Theorem entry of the Archive of Formal Proofs formalizes a theorem in additive combinatorics due to Askold Khovanskii [13]. Among the proof steps is the following:

```
have map2 (+) (map2 (+) xs ys) zs = map2 (+) xs (map2 (+) ys zs)
for xs ys zs :: ('a :: ab\_semigroup\_add) list
```

In Isabelle, the **have** command states a new goal within a local proof context, and **for** specifies universally quantified variables. The goal states that the operation map2(+) is associative for any lists xs, ys, and zs of elements that form an additive abelian semigroup. The function $map2 :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \ list \Rightarrow 'b \ list \Rightarrow 'c \ list$ maps a binary function on the respective elements of two lists. The binary operation + is from the additive abelian semigroup structure.

The first thing an Isabelle user might do to prove this goal is to invoke the **try0** command to launch several standard proof methods in parallel. This leads to a timeout with no proof found. Next, the user might try Sledgehammer. When invoked as an ATP hammer, Sledgehammer also times out with no proof found. When invoked as an ATP-free hammer, Sledgehammer finds and outputs the following proof:

by (simp add: case_prodI2 prod.case_distrib zip_assoc case_prod_app map_zip_map map_zip_map2 ab_semigroup_add_class.add_ac(1))

4 Evaluation

For our evaluation, we used Mirabelle [11, Section 4] to evaluate the ATP and ATPfree hammers on goals originating from different sessions of the Isabelle distribution and the Archive of Formal Proofs. Our objective was to include goals from various areas of mathematics and computer science representing diverse formalization styles. Within a session, the goals were selected at regular intervals, alleviating the issue that consecutive goals tend to be similar. We used the repository revisions 0b46bf0a434f of Isabelle (2025-02-25) and 9dac8e411570 of the Archive of Formal Proofs (2025-02-28). The evaluation was run on a server with an Intel Xeon Silver 4114 CPU and 187 GiB of RAM. The raw evaluation data is available online.¹

We first ran a representative external prover with a timeout of 10 seconds, 32 facts, and varying relevance filter configurations on 1000 goals (four sessions with 250 goals each). The aim was to identify the relevance filter that works best for a small number of facts. We found out that with MePo, MaSh, and MeSh, the success rate was respectively 30.1%, 24.4%, and 32.4%. Based on theses results, we decided to use MeSh for the remaining experiments. We selected 5000 goals (20 sessions with 250 goals each).

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23:4 Sledgehammering without ATPs: Short Paper

	Success rate (%) w.r.t. number of facts								
Proof method	0	1	2	4	8	16	32	64	Union
algebra	0.6	0.4	0.2	0.1	0.0	0.0	0.0	0.0	0.7
argo	4.4	4.8	5.0	5.1	5.7	6.2	6.4	6.4	6.5
auto	24.8	25.5	26.2	26.9	28.5	27.1	20.8	7.9	33.9
blast	11.1	11.8	12.4	12.9	13.5	13.7	13.3	12.4	17.4
fastforce	26.1	26.9	27.8	28.4	29.4	28.8	20.8	9.5	36.8
force	26.0	26.7	27.4	27.9	28.4	26.9	21.1	9.8	35.5
linarith	4.0	4.3	4.4	4.6	4.9	5.2	5.3	5.3	5.6
meson	5.9	6.6	7.1	7.7	9.5	10.7	10.6	8.3	14.3
metis	9.3	10.3	11.2	12.6	15.9	18.9	21.6	20.6	28.1
order	0.5	0.5	0.6	0.6	0.6	0.7	0.7	0.7	0.7
presburger	6.3	6.7	7.1	7.7	8.4	8.7	8.0	5.4	11.3
satx	2.3	2.5	2.6	2.7	2.9	3.1	3.3	3.3	3.3
simp	17.7	18.5	19.1	19.7	20.4	18.7	12.2	4.0	27.2
All of the above	28.5	29.7	30.7	32.1	35.1	38.8	39.0	32.8	46.8

Table 1 Success rate of several proof methods. The last column gives the success rate of the union of all goals solved when considering 0, 1, 2, 4, 8, 16, 32, and 64 facts.

Success Rate We evaluated the ATP hammer (i.e., Sledgehammer's default portfolio) with a timeout of 30 seconds: The success rate was 72.1%. (This is similar to the value found by Desharnais et al. [11].) In the present evaluation, we ran several proof methods with a timeout of two seconds and a number of facts varying from 0 to 64: The success rates are in Table 1. The union of all proof methods with 0 facts had a success rate of 28.5%; this scenario approximates the **try0** command. The union of all proof methods with any number of facts (i.e., the ATP-free hammer) had a success rate of 46.8%.

Together, the ATP hammer and our approximation of **try0** had a success rate of 74.1%: an improvement of 2.0 percentage points over the ATP hammer alone. Together, the ATP and ATP-free hammers had a success rate of 74.6%: an improvement of 2.5 percentage points over the ATP hammer and of 0.5 percentage points over the ATP hammer and **try0** together. A 2.5 or 0.5 percentage points increase of the success rate might not sound like much, but as Blanchette et al. remarked in a previous paper [7, Section 7]:

When analyzing enhancements to automatic provers, it is important to remember what difference a modest-looking gain of a few percentage points can make to users. The benchmarks were chosen to be representative of typical Isabelle goals and include many that are either too easy or too hard to effectively evaluate automatic provers. Indeed, some of the most essential tools in Isabelle, such the arithmetic decision procedures, score well below 10% when applied indiscriminately to the entire Judgment Day suite.

Running Time How quickly do the proof methods find the proofs? Table 2 answers this question for some proof methods, focusing on the ones that have a success rate of more than 10% according to the last column of Table 1. The median, or 50th percentile, is shown, as well as other percentiles. For example, the number 31 in the first row indicates that 90% of the goals proved by **auto** without any fact were proved within 31 milliseconds. For comparison, the last row presents the running times for the ATP hammer.

Table 2 shows that proof methods can find a proof very quickly, but that the running time of some proof methods (e.g., auto, force) rapidly increases with the number of facts.

M. Desharnais and J. Blanchette

D ()	Facts		Running time (ms) at percentiles							
Prover(s)		25	50	75	90	95	99			
auto	0	2	4	12	31	56	224			
auto	4	5	12	29	69	136	382			
auto	16	58	124	251	532	814	1532			
auto	64	716	1088	1478	1718	1854	1981			
blast	0	0	1	2	5	11	86			
blast	4	1	1	3	7	14	107			
blast	16	3	6	10	29	87	546			
blast	64	42	85	262	624	1078	1789			
fastforce	0	1	5	21	70	146	566			
fastforce	4	5	15	42	116	234	668			
fastforce	16	48	147	384	816	1181	1813			
fastforce	64	313	986	1421	1810	1887	2066			
force	0	1	5	19	63	136	906			
force	4	4	13	35	113	234	918			
force	16	39	103	250	551	856	1688			
force	64	563	943	1407	1805	1926	2056			
meson	0	0	4	8	14	26	74			
meson	4	4	8	12	22	35	174			
meson	16	20	30	45	96	216	984			
meson	64	98	182	352	886	1228	1794			
metis	0	8	11	19	32	70	474			
metis	4	12	17	25	47	114	486			
metis	16	36	49	80	182	372	1318			
metis	64	224	404	816	1454	1635	1900			
simp	0	1	4	11	29	51	135			
simp	4	2	6	17	41	71	225			
simp	16	9	30	106	298	488	1100			
simp	64	50	204	605	1365	1776	1990			
ATP hammer		464	653	1044	1820	2339	3966			

Table 2 Running time to find a proof of several proof methods.

Portfolios So far, we have seen that a virtual portfolio consisting of all 13 proof methods with all eight number-of-fact configurations had a success rate of 46.8 % (Table 1). Such a virtual portfolio is not very realistic, because it would require $13 \times 8 \times 2 = 208$ seconds with our per-configuration timeout of two seconds. A more realistic alternative is to consider the greedy sequence of length n. The sequence is obtained by iteratively (1) taking first some best prover configuration for the goals of interest, and (2) removing all goals proved by this configuration. These two steps are repeated n times, yielding n configurations. The result is not necessarily optimal, but it can be computed efficiently.

Table 3a presents the greedy sequence of length 32 based on our experiments. This portfolio could be for a ATP-free hammer that aims to maximize the success rate. Table 3b presents an alternative greedy sequence of the same length that first evaluates all proof methods without any fact. This portfolio could be for a ATP-free hammer that aims to subsume the **try0** command. Given 64 seconds—or 8 seconds and 8 threads—the first portfolio would have had a success rate of 46.7% and the second of 46.4%.

23:6 Sledgehammering without ATPs: Short Paper

Table 3 Greedy sequences of proof methods. The column SRI contains the success rate improvement (% points) over the previous row and CSR contains the cumulative success rate (%).

(a) W	hen maximizing s	success ra	ite		(b) When subsuming try0						
	Proof method	Facts	SRI	CSR		Proof method	Facts	SRI	CSR		
1	fastforce	8	29.40	29.4	1	fastforce	0	26.10	26.1		
2	metis	64	7.62	37.0	2	metis	0	1.06	27.2		
3	auto	32	2.56	39.6	3	auto	0	0.68	27.8		
4	metis	16	1.52	41.1	4	force	0	0.32	28.2		
5	force	0	1.22	42.3	5	algebra	0	0.14	28.3		
6	simp	32	1.00	43.3	6	linarith	0	0.14	28.4		
7	fastforce	16	0.72	44.0	7	argo	0	0.06	28.5		
8	metis	32	0.52	44.6	8	blast	0	0.04	28.5		
9	fastforce	2	0.24	44.8	9	meson	0	0.00	28.5		
10	linarith	16	0.20	45.0	10	order	0	0.00	28.5		
11	metis	8	0.20	45.2	11	presburger	0	0.00	28.5		
12	simp	16	0.20	45.4	12	satx	0	0.00	28.5		
13	simp	64	0.18	45.6	13	simp	0	0.00	28.5		
14	blast	32	0.12	45.7	14	metis	64	8.90	37.4		
15	algebra	0	0.10	45.8	15	fastforce	16	4.06	41.5		
16	force	32	0.10	45.9	16	metis	32	1.42	42.9		
17	argo	16	0.08	46.0	17	simp	32	1.12	44.0		
18	auto	4	0.08	46.1	18	auto	32	0.52	44.6		
19	auto	64	0.08	46.1	19	fastforce	8	0.36	44.9		
20	presburger	32	0.08	46.2	20	metis	16	0.32	45.2		
21	meson	16	0.06	46.3	21	simp	16	0.20	45.4		
22	blast	8	0.04	46.3	22	simp	64	0.18	45.6		
23	blast	64	0.04	46.4	23	linarith	64	0.12	45.7		
24	fastforce	32	0.04	46.4	24	metis	8	0.12	45.9		
25	force	8	0.04	46.4	25	blast	32	0.10	46.0		
26	linarith	64	0.04	46.5	26	force	32	0.10	46.1		
27	meson	64	0.04	46.5	27	presburger	32	0.08	46.1		
28	simp	1	0.04	46.6	28	auto	64	0.06	46.2		
29	simp	8	0.04	46.6	29	meson	16	0.06	46.3		
30	algebra	2	0.02	46.6	30	blast	8	0.04	46.3		
31	blast	0	0.02	46.6	31	blast	64	0.04	46.3		
32	blast	4	0.02	46.7	32	fastforce	4	0.04	46.4		

(a) When maximizing success rate

5 Conclusion

We described a hammer architecture in which the external ATPs are replaced by the proof assistant's built-in proof methods. We presented an extension of Sledgehammer that implements this idea. The relevance filter is then directly connected to Isabelle's built-in proof automation. In this way, goals that, given the right facts, are easy for the built-in automation but too difficult for external ATPs can now be solved. Our empirical evaluation found that the newly extended Sledgehammer has a higher success rate than its predecessor based only on ATPs.

Future work includes the classification of facts. Currently, we treat all facts given to a proof method in the same way, but some methods (e.g., auto) work better when the provided facts are classified (e.g., into simplification, introduction, and elimination rules). By integrating fact classification into Sledgehammer, we hope to improve the success rate of proof methods.

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23:8 Sledgehammering without ATPs: Short Paper

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