Logical Verification 2022–2023 Vrije Universiteit Amsterdam Lecturers: dr. J. C. Blanchette and J. B. Limperg



Final Exam (v3)
Tuesday 20 December 2022, 08:30–11:15, WN-S623 S631 S655
6 questions, 90 points
Answers may be given in English or Dutch

#### **Proof Guidelines**

We expect detailed, rigorous, mathematical proofs, but we do not ask you to write Lean proofs. You are welcome to use standard mathematical notation or Lean structured commands (e.g., assume, have, show, calc). You can also use tactical proofs (e.g., intro, apply), but then please indicate some of the intermediate goals, so that we can follow the chain of reasoning.

Major proof steps, including applications of induction and invocation of the induction hypothesis, must be stated explicitly. For each case of a proof by induction, you must list the **inductive hypotheses** assumed (if any) and the goal to be proved. Unless otherwise specified, minor proof steps corresponding to ref1, simp, or linarith need not be justified if you think they are obvious, but you should say which key lemmas they depend on.

You should be explicit whenever you use a function definition or an introduction rule for an inductive predicate, especially for functions and predicates that are specific to an exam question.

# In Case of Ambiguities or Errors in an Exam Question

The staff present at the exam has the lecturer's phone number, in case of questions or issues concerning a specific exam question. Nevertheless, we strongly recommend that you work things out yourselves, stating explicitly any ambiguity or error and explaining how you interpret or repair the question. The more explicit you are, the easier it will be for the lecturers to grade the question afterwards.

## **Question 1.** Functional programming (5+4+7 points)

**1a.** Complete the following definition of the function cycle:

```
def cycle \{\alpha : \mathsf{Type}\} : \mathbb{N} \to \mathsf{list} \ \alpha \to \mathsf{list} \ \alpha
```

Given a natural number n and a list xs, cycle n xs is a list containing n copies of the elements of xs. For example:

```
cycle 2 ["I", "love", "exams"] = ["I", "love", "exams", "I", "love", "exams"]
cycle 0 [1, 2] = []
```

You may use the append operator ++. If you use other functions, give their definitions as well.

- **1b.** Write the Lean code for a lemma stating that every element of the list cycle n xs is also an element of xs, for all n and xs. You do not have to prove the lemma. You can use the binary operator  $\in$  of type  $\Pi\alpha$ ,  $\alpha \to \text{list } \alpha \to \text{Prop}$  in the lemma statement.
- **1c.** Consider the following join function, which concatenates a list of lists:

```
def join \{\alpha: \mathsf{Type}\}: \mathsf{list}\ (\mathsf{list}\ \alpha) \to \mathsf{list}\ \alpha | [] := [] | (xs :: xss) := xs ++ join xss
```

Prove the following lemma about join by induction:

```
lemma join_append \{\alpha: \text{Type}\}\ (\text{xss yss}: \text{list (list }\alpha)): join (xss ++ yss) = join xss ++ join yss
```

For each case of the induction, clearly indicate the inductive hypotheses assumed and the goal to be proved.

# **Question 2.** Logic (8+8 points)

**2a.** Give a detailed proof of the following lemma. Make sure to emphasize and clearly label every step corresponding to the introduction or elimination of a quantifier or connective.

```
lemma not_exists_forall_not {$\alpha:$ Type} {p:$\alpha$} : $\alpha \to Prop} : (¬ $\exists x$, p x) $\rightarrow$ $\forall y$, ¬ p y$
```

**2b.** Give a detailed proof of the following lemma. Make sure to emphasize and clearly label every step corresponding to the introduction or elimination of a quantifier or connective.

```
lemma or_forall_forall_or {$\alpha$}: Type} {pq: $\alpha$} : $\alpha$} : $\alpha$} | Prop$} : $(\forall x, p x) \quad (\forall x, q x) \rightarrow \forall x, p x \quad q x$} | $\alpha$} | $| \alpha | \alpha
```

## **Question 3.** Semantics (4+3+9 points)

We introduce the RNG language, a variant of the WHILE language with nondeterministic variable assignment and a restricted form of while loops. It has the following kinds of statements:

- skip does nothing;
- $x := [z_1, \ldots, z_n]$  assigns one of the integers  $z_i$ , chosen at random, to the variable x. If n = 0, the program blocks;
- S ; T executes the statement S followed by the statement T;
- while\_nonzero x do S executes the statement S repeatedly until the variable x becomes zero.

In Lean, we can model the RNG language's abstract syntax as follows:

The infix syntax S;; T abbreviates stmt.seq S T.

The big-step semantics of RNG relates a program  $S: \mathtt{stmt}$  and an initial state  $s: \mathtt{string} \to \mathbb{Z}$  with a possible final state  $t: \mathtt{string} \to \mathbb{Z}$ . We write  $(S, s) \Rightarrow t$  if the program S, when run in the initial state s, may terminate in the final state t.

**3a.** Dana Hacker claims that the following derivation rule should be part of the big-step semantics of RNG.

$$\frac{(S,s) \Rightarrow t \quad (T,s) \Rightarrow t}{(S:T,s) \Rightarrow t} SEQ_WRONG$$

Explain why this rule is wrong.

**3b.** Despite common sense, Dana wants you to translate her SEQ\_WRONG rule to Lean. Add an introduction rule corresponding to SEQ\_WRONG to the following inductive predicate.

```
\mbox{def state} \ : \ \mbox{Type} \ := \ \mbox{string} \ \to \ \mathbb{Z} \mbox{inductive big\_step} \ : \ \mbox{stmt} \ \times \ \mbox{state} \ \to \mbox{State} \ \to \mbox{Prop}
```

**3c.** Complete the following specification of a big-step semantics for RNG by giving the missing derivation rules for assign, seq, and while\_nonzero.

$$\frac{}{(\text{skip}, s) \Rightarrow s} SKIP$$

#### **Question 4.** List predicates (6+4+8 points)

**4a.** For a predicate  $p: \alpha \to Prop$  and a list  $xs: list \alpha$ , we define the predicate all p xs that is true if and only if every element of xs satisfies p. Complete the following definition of all as an inductive predicate.

```
inductive all \{\alpha: \mathsf{Type}\}\ (\mathsf{p}: \alpha \to \mathsf{Prop}): \mathsf{list}\ \alpha \to \mathsf{Prop}
```

**4b.** Similarly, we define the predicate any p xs which is true if and only if there exists an element of xs that satisfies p:

```
inductive any \{\alpha: \text{Type}\}\ (p:\alpha\to\text{Prop}): \text{list }\alpha\to\text{Prop} | here \{x\ xs\}: p\ x\to\text{any }(x::xs) | there \{x\ xs\}: \text{any }xs\to\text{any }(x::xs)
```

The same predicate can also be defined using all. Give a simple, nonrecursive definition:

```
def anyd \{\alpha: \mathsf{Type}\}\ (\mathsf{p}: \alpha \to \mathsf{Prop})\ (\mathsf{xs}: \mathsf{list}\ \alpha): \mathsf{Prop}
```

**4c.** Yet another way to define any is as a recursive function:

```
def anyf \{\alpha: \text{Type}\}\ (\text{p}: \alpha \to \text{Prop}): \text{list } \alpha \to \text{Prop} \mid [] := \text{false} \mid (\text{x}:: \text{xs}) := \text{p} \times \vee \text{anyf } \text{xs}
```

Prove by induction that any p xs implies anyf p xs. For each case of the induction, clearly indicate the inductive hypotheses assumed and the goal to be proved.

```
lemma any_anyf {\alpha : Type} {p : \alpha \to \text{Prop}} {xs : list \alpha} : any p xs \to anyf p xs
```

## **Question 5.** Mathematics in Lean (4+5+4+2 points)

**5a.** What are the types of the following Lean expressions?

```
["What", "is", "my", "type?"] true Prop (\lambda \alpha, \text{ set } \alpha \rightarrow \text{list } \alpha)
```

**5b.** The type class monoid of monoids is defined as follows in Lean:

The type of Booleans can be viewed as a monoid, with ff as one and disjunction || as mul. Complete the following instance definition accordingly. For the first two fields, give a Lean term. For the other three fields, state the property that needs to be proved to define the field and very briefly explain why it holds.

**5c.** The relation same\_parity relates two natural numbers if they are either both even or both odd:

```
inductive same_parity : \mathbb{N} \to \mathbb{N} \to \mathsf{Prop} | even {m n} : even m \to even n \to same_parity m n | odd {m n} : odd m \to odd n \to same_parity m n
```

Prove that same\_parity is symmetric.

```
lemma same_parity_symm : \forall m \ n : \ \mathbb{N}, \ same\_parity \ m \ n \ \rightarrow \ same\_parity \ n \ m
```

**5d.** In addition to being symmetric, the relation same\_parity is also reflexive and transitive, and is therefore an equivalence relation. We use it to form the quotient parity:

How many distinct inhabitants does parity have? Briefly justify your answer.

#### **Question 6.** Monads (4+5 points)

Recall that a monad is lawful if its pure and bind operations satisfy the three laws given by the lawful\_monad type class below. We use ma >>= f as syntactic sugar for bind ma f.

```
@[class] structure lawful_monad (m : Type \rightarrow Type) [monad m] := (pure_bind {$\alpha$ $\beta$ : Type} (a : $\alpha$) (f : $\alpha$ \rightarrow m $\beta$) : (pure a >>= f) = f a) (bind_pure {$\alpha$ : Type} (ma : m $\alpha$) : (ma >>= pure) = ma) (bind_assoc {$\alpha$ $\beta$ $\gamma$ : Type} (f : $\alpha$ \rightarrow m $\beta$) (g : $\beta$ \rightarrow m $\gamma$) (ma : m $\alpha$) : ((ma >>= f) >>= g) = (ma >>= (\lambdaa, f a >>= g)))
```

**6a.** Prove that the following lemma holds for any lawful monad. Your proof should be step-by-step calculational, with at most one rewrite rule per step, so that we can clearly see what happens.

```
lemma pure_bind_bind_bind {m : Type \rightarrow Type} [monad m] [lawful_monad m] {\alpha \beta \gamma \delta : Type} (a : \alpha) (mb : m \beta) (f : \beta \rightarrow m \gamma) (g : \gamma \rightarrow m \delta) : pure a >>= (\lambdaa, mb >>= (\lambdab, f b >>= g)) = (mb >>= f) >>= g
```

**6b.** Does the following statement hold for arbitrary lawful monads? If so, give a proof sketch. If not, give a counterexample and briefly explain why it is a counterexample.

```
lemma reordering {m : Type \rightarrow Type} [monad m] [lawful_monad m] {\alpha : Type} (ma : m \alpha) (f g : \alpha \rightarrow m \alpha) : ((ma >>= f) >>= g) = ((ma >>= g) >>= f)
```